

## MINORS' DOOR-TO-DOOR SALES

**EMPLOYER, TRANSPORTER & SUPERVISOR APPLICATION FOR REGISTRATION** 

(LC 1308.2) (LC 1308.3)

**IMPORTANT** INSTRUCTIONS: Read the questions carefully and follow the enclosed instructions. Submit this application with the required fees to Division of Labor Standards Enforcement, Licensing Unit, P.O. Box 420603, San Francisco, CA 94142. Fees shall be payable to the Division of Labor Standards Enforcement by certified check, cashier's check or money order.

\*Please type or print clearly, in ink, all requested information. If additional space is needed to answer any question on the application, continue the answer on a separate sheet and indicate the item #.

| I am completing this application as: Employer   | Transp                        | orter/Supervisor    |                                    | Both                             |  |
|---|-------------------------------|---------------------|------------------------------------|----------------------------------|--|
| 1. (a) Name(s) of individual or of legal entity a   | applying for registration 2   | . Doing Business    | As (DBA) Na                        | me(s)                            |  |
| 1. (b) State Employment Tax (SEIN) No   | 3                             |                     |                                    | New Renewal                      |  |
| 1. (c) Internal Revenue Service (EIN) No.   |                               | If renewal, give    | previous Re                        | gistration No.                   |  |
| 4. Main Office Address (Number, Street, City<br>(Do not use a P.O. Box A                                  | , State, Zip Code)<br>ddress) | 5. (a               | i) Area Code a                     | & Telephone No.                  |  |
|   |                               | 5. (b               | ) E-mail Addr                      | ess:                             |  |
|   |                               | 5. (c               | ) Fax No                           |                                  |  |
| 6. Branch Location Address(es) (Number, Street, City, State, Zip Code)<br>(Do not use a P.O. Box Address) |                               |                     | 7. Area Code(s) & Telephone No(s). |                                  |  |
| 8. If applying as transporter/supervisor, nam   | e and address of your em      | iployer (Do not use | a P.O. Box Add                     | ress)                            |  |
| <ol> <li>The applicant business will be operated as<br/> Individual Partnership Corpor</li> </ol>         |                               | , Co Other          |                                    | 10. Birthdate                    |  |
|   |                               |                     | (Specify)                          | (See instructions).              |  |
| 11. <b>Enclose</b> a copy of the filed Stateme<br>Certificate of Qualification.                           | nt by Domestic Stock C        | orporation, and,    | if a foreign                       | corporation, a copy of the filed |  |
| 12. (a) Name and address of organization fro<br>purchased <sup>(Do not use a P.O. Box Address)</sup>      | m which the items to be so    | old are             | 12. (b) Area                       | Code & Telephone No.             |  |
| purchaseu   |                               |                     | 12. (c) State                      | e Employment Tax (SEIN) No.      |  |
|   |                               |                     | 12. (d) Inter                      | nal Revenue Service (EIN) No.    |  |
| 5   | SHADED AREA FOR               | R OFFICE US         | E ONLY                             |                                  |  |
| VIF/CA/C  | Date Received                 | Date Approved       |                                    | Approved by                      |  |
| P/SWCICON   | Reviewed by                   | Effective Date      |                                    | Expiration Date                  |  |
| REGSENTITYBRO   |                               |                     |                                    |                                  |  |
| Amount Received \$  | Registration No.              |                     |                                    |                                  |  |

## 13. In the space below, provide the following information:

| (a) Individual     (b) Partnership (List each partner)     (c) Partnership (List each partner)     (c) Partnership (List each member. Include only those having financial interest of 25% or more.)     (c) Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)     (c) Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)     (c) Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)     (c) Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)     (c) Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)     (c) Persons with profit sharing interest in the business (Exclude bona fide employees on stated salaries. Include only those having financial interest of 25% or more.)     (c) Persons with responsibility and authority to manage the business (List title)  |   | Full  | Name   | Residence Address & Telephone No.       | Social       | Security #       | Percentage<br>of interest | Driver's License No.<br>& Issuing State |
|--|---|---|--|---|--------------|------------------|---------------------------|---|
| (.)     (  | (a).  |   |  | (Do not use a P.O. Box Address)         |              | ,                |                           |   |
| (.)     (  |   |   |  |   |              |                  |                           | ( )                                     |
| (b). Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)         (c). Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)         (c). Limited Liability Company (List each officer and corporate title. Include only those having financial interest of 25% or more.)         (d). Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)         (d). Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)         (e). Persons with profit sharing interest in the business (Exclude bona fide employees on stated salaries. Include only those having financial interest of 25% or more.)         (e). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the activity of the minor. Include the name of each person listed in Item #13 whom you wish to have authorized to perform these activities.         Name and Social Security No.       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         (Lity, State, Zip Code)       (City, State, Zip Code)         (f). Include a copy of a valid and current Certificate of Workers' Compensation Insurance.       (City, State, Zip Code  | (b).  | Partner   | ship (List each partner  | )                                       |              |                  |                           |   |
| (c). Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)         (c). Limited Liability Company (List each member. Include only those having financial interest of 25% or more.)         (d). Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)         (d). Corporation (List each officer and corporate title. Include only those having financial interest of 25% or more.)         (e). Persons with profit sharing interest in the business (Exclude bona fide employees on stated salaries. Include only those having financial interest of 25% or more.)         (e). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authority to manage the business (List title)         (f). Persons with responsibility and authori  |   |   |  |   |              |                  | %                         | ( )                                     |
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| Image: constraint of the second se  |   |   |  |   |              |                  | %                         | ( )                                     |
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| Image: constraint of the second se  |   |   |  |   |              |                  | %                         | ( )                                     |
| (a)      %       (b)         (c)      %       (c)         (e). Persons with profit sharing interest in the business (Exclude bona fide employees on stated salaries. Include only those having financial interest of 25% or more.)      %       (c)         (e)      %       (c)      %       (c)         (f)       Persons with responsibility and authority to manage the business (List title)      %       (c)         (f.)       Persons with responsibility and authority to manage the business (List title)      %       (c)         14.       Name, Social Security number and residence address of each person who will be engaged by you to accompany, supervise recruit, solicit, hire, furnish, transport, or otherwise direct the activity of the minor. Include the name of each person listed in Iterr #13 whom you wish to have authorized to perform these activities.         Name and Social Security No.       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         Name and Social Security No.       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         15. Include two (2) original fingerprint cards, [form BID-7 (5/90)] for each person listed in Iterns #13 and #14. (Not required for renewals if previously submitted.)         16. Include a copy of any written contract or other written agreement to be offered by the applican  | (d).  | Corpora   | tion (List each officer  | and corporate title. Include only those | e having fin | ancial interest  | of 25% or mor             | e.)                                     |
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| (e). Persons with profit sharing interest in the business (Exclude bona fide employees on stated salaries. Include only those having financial interest of 25% or more.)      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )        % ( )      % ( )         (f). Persons with responsibility and authority to manage the business ( List title)      % ( )         14. Name, Social Security number and residence address of each person who will be engaged by you to accompany, supervise recruit, solicit, hire, furnish, transport, or otherwise direct the activities.         Name and Social Security No.   |   |   |  |   |              |                  | %                         | ( )                                     |
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| (City, State, Zip Code)       (City, State, Zip Code)         Name and Social Security No.       Name and Social Security No.         Residence Address (Number, Street - Do not use P.O. Box Address)       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         15. Include two (2) original fingerprint cards, [form BID-7 (5/90)]       for each person listed in Items #13 and #14. (Not required for renewals if previously submitted.)         16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance.       17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used   |   | Name a  | and Social Security No   |   | Name an      | d Social Secu    | rity No.                  |   |
| Name and Social Security No.       Name and Social Security No.         Residence Address (Number, Street - Do not use P.O. Box Address)       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         15. Include two (2) original fingerprint cards, [form BID-7 (5/90)]       for each person listed in Items #13 and #14. (Not required for renewals if previously submitted.)         16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance.       Insurance.         17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used  |   | Resider   | nce Address (Number, S   | treet - Do not use P.O. Box Address)    | Residence    | ce Address (Nu   | mber, Street - Do         | not use P.O. Box Address)               |
| Residence Address (Number, Street - Do not use P.O. Box Address)       Residence Address (Number, Street - Do not use P.O. Box Address)         (City, State, Zip Code)       (City, State, Zip Code)         15. Include two (2) original fingerprint cards, [form BID-7 (5/90)]       for each person listed in Items #13 and #14. (Not required for renewals if previously submitted.)         16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance.       17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used   |   | (City, S  | tate, Zip Code)  |   | (City, Sta   | ite, Zip Code)   |                           |   |
| (City, State, Zip Code)       (City, State, Zip Code)         15. Include two (2) original fingerprint cards, [form BID-7 (5/90)]       for each person listed in Items #13 and #14. (Not required for renewals if previously submitted.)         16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance.         17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used   | Name and Social Security No.  |   | Name and Social Security No.                                     |   |              |                  |                           |   |
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| renewals if previously submitted.) 16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance. 17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used  | (City, State, Zip Code)   |   | (City, State, Zip Code)  |   |              |                  |                           |   |
| <ul><li>16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance.</li><li>17. Include a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used</li></ul>   |   |   |  |   |              |                  |                           |   |
|  | 16. Include a copy of a valid and current Certificate of Workers' Compensation Insurance. |   |  |   |              |                  |                           |   |
| by the applicant in door-to-door sales.  |   | 17. <b>Include</b> a copy of any written contract or other written agreement to be offered by the applicant to the minor employed or used by the applicant in door-to-door sales. |  |   |              |                  |                           |   |

| 18. <b>Include</b> a copy of door-to-door sales regulations in Subchapter 1 of Chapter 6 of Title 8 of the California Code of Regulations, signed by the applicant and each person listed in Items #13 or #14 (who does not already hold a valid and current Transporter or Supervisor registration), indicating that such person has read and understood these regulations. These regulations are included in the information packet accompanying the registration materials, and this shall satisfy the statutory requirement that the applicant describe the methods and levels of adult supervision to be provided in the door-to-door sales operation. |  |  |  |  |
|---|--|--|--|--|
| 19. Describe the merchandise (including brand<br>Include each promotional brochure and cir  |  | ow it will be represented to the public.                   |  |  |
| 20. Describe how your door-to-door sales ope<br>Include each brochure and circular to be u  |  |  |  |  |
| 21. Enclose a copy of the promotional stateme   | ent(s) you expect the minor to deliver.        |  |  |  |
| the Division as required by Labor Code §270   | 0.6, which is included in the information      | idence in California, post security for wages with packet. |  |  |
| 23. How will the minor be paid? Daily   | Weekly Other (Explain)                         |  |  |  |
| 24. Do you intend to transport or provide tran  | sportation for the minor(s)?                   | Yes No   |  |  |
| 25. State the largest number of minors you plan   | to transport in one vehicle on any one t       | rip.   |  |  |
| 26. If yes to Item #24, provide the information re  | equested below for each vehicle                |  |  |  |
| V Name and address of owner   | Make, Model, Year                              | Vehicle License No.  |  |  |
| e<br>h<br>i   |  |  |  |  |
| c   | Passenger Capacity                             |  |  |  |
| <br>  e   |  |  |  |  |
| #1     V Name and address of owner  |  |  |  |  |
| e<br>h  | Make, Model, Year                              | Vehicle License No.  |  |  |
|   |  |  |  |  |
| 1   | Passenger Capacity                             |  |  |  |
| e<br>#2   |  |  |  |  |
| V Name and address of owner   | Make, Model, Year                              | Vehicle License No.  |  |  |
| le<br>h   |  |  |  |  |
|   |  |  |  |  |
| e   | Passenger Capacity                             |  |  |  |
| #3  |  |  |  |  |
| 27. Enclose a copy of the Certificate of Vehicl   |  |  |  |  |
| 28. Have you conducted the door-to-door sale  |  |  |  |  |
| 29. If yes to Item #28, describe the type of busi   |  | of the business and dates of operation.                    |  |  |
| 30. Do you , or any person named in Item #13 p  | 5  |  |  |  |
| (a) Owe any unpaid wages?   |  |  |  |  |
| (b) Have any judgments outstanding?   |  |  |  |  |
| <ul> <li>(c) Have any liens or suits pending in court against them?</li></ul>   |  |  |  |  |
| or disability insurance?  |  | Yes No   |  |  |
| 31. If yes to any question in Item #30, indicate of   | letails below. Include the name of the p       | erson, the type of debt, and the amount owed.              |  |  |
| 32. Have you or has any person in Items #13 or  |  |  |  |  |
|   |  | Yes No   |  |  |
| (*The term convicted includes suspended sentences, gr   | ants of probation, and expungements of proceed | ling under California Penal Code Sections 1203.4, et seq.) |  |  |

| 33.             | If yes to Item #32, indicate the date, place, and circumstances below. <b>Include</b> disposition for each conviction and proof of completion of any program required by the court. Continue on a separate sheet if necessary.  |
|-----------------|---|
| 34.             | Have you or person(s) listed in Items #13 or #14 ever had any license or permit issued by any agency of the state of California suspended, revoked, denied, or have you (they) received any disciplinary action of any nature in connection with the holding of any such license or permit?   |
| 35.             | If yes, to Item #34, indicate the type of license or permit, date, place and circumstances below.   |
|                 | List two references for each applicant named in Items #13 or #14. (A person listed as a reference must be a resident of California, at least eighteen years of age, who has known the applicant for at least one year and is not a relative of the applicant.) Use additional paper if necessary.   |
|                 | Name of Reference Reference for (Applicant's Name)  |
|                 | Address (Number, Street, City, State, Zip Code. Do not use a P.O. Box address)  |
|                 | Area Code and Telephone Number  |
|                 | Name of Reference Reference for (Applicant's Name)  |
|                 | Address (Number, Street, City, State, Zip Code. Do not use a P.O. Box address)  |
|                 | Area Code and Telephone Number  |
|                 | m /We are aware of and agree to comply with Labor Code Section 3700 which requires every employer to have workers' npensation coverage.   |
|                 | e agree to employ or use minors engaged in door-to-door sales in compliance with the provisions of the California Labor Code and California Code of Regulations.  |
| I/W             | e agree that if transportation is provided, each vehicle is safe and carries liability coverage as required by law.   |
| 1.              | derstand that I must carry the proof of Registration issued by the Labor Commissioner with me at all times and that failure to do so misdemeanor.   |
| I ce            | ertify that all information contained in this application and all accompanying documents is true and correct.   |
| MA              | ereby certify under penalty of perjury under the laws of California that the foregoing is true and correct. I am aware that ANY TERIAL MISREPRESENTATION IS GROUNDS FOR DENIAL OR SUBSEQUENT REVOCATION OF GISTRATION.  |
| Exe             | ecuted at* day of 19  |
| sigr            | <b>GNATURES</b> (The individual owner or a partner MUST SIGN. If business is a corporation, any authorized corporate officer may<br>n. He or she must also indicate his or her corporate title. If business is a limited liability company, a member MUST SIGN. Any<br>son listed in Item #13 whom you wish to have authorized to perform duties as a transporter or supervisor MUST SIGN.) |
| -               |   |
| -               |   |
|                 |   |
| *lf p<br>affirr | lace of execution is outside California, the foregoing statements must be sworn to before a notary public or other officer authorized to take oaths and mations.  |

| IMPORTANT NOTICE |  |  |  |  |
|------------------|--|--|--|--|
|                  | tion will be rejected and returned to you if you fail to comply with all the requirements contained in this <b>MAKE CERTAIN</b> that you have:   |  |  |  |
|                  | Included each document required in Items #11, 15, 16, 17, 18, 19, 20, 21, 22, 27, and 33 of this application, properly executed.   |  |  |  |
|                  | <b>Included</b> all necessary supplemental information for which there was insufficient space on the application and <b>indicated</b> the Item # to which each piece of supplemental information applies. <b>Completed</b> each and every question on the application. |  |  |  |
|                  | <b>Signed</b> the application, <b>including</b> the signature of each person listed in Item #14 whom you wish to have authorized to perform the duties of a transporter or supervisor.   |  |  |  |

## PRIVACY ACT NOTICE

We ask for the information on the "Minors' Door-to-Door Sales: Employer, Transporter & Supervisor Application for Registration" [form DLSE 453 (New 12/96)] for the review of licensing qualifications and to determine fitness for licensing. The disclosure of your social security number (SSN) is mandated by Labor Code Sections 1308.2 and 1308.3. The only purpose of this disclosure is to enable us to properly identify individual applicants when accessing law enforcement records.